

Serial No. 09/821335

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christoffersen et al.

Examiner: Fayez G. Assaf

Serial No.: 09/821335

Group Art Unit: 2872

Filed: March 29, 2001

Docket No.: 01485.0002-US-01

Title: STACKED PLANAR INTEGRATED OPTICS AND TOOL FOR
FABRICATING SAME

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this document is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 19, 2003.

Iain A. McIntyre
Name

J. C. McIntyre
Signature

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER

37 C.F.R. §1.97(c)

Group Art 2872
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 C.F.R. 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 C.F.R. 1.113, a Notice of Allowance under 37 C.F.R. 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the following:

Statement Under 37 C.F.R. 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 C.F.R. 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

- ☐ The fee in the amount of \$ as set forth in 37 C.F.R. 1.17(p).

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC



Date: March 19, 2003

By:

A handwritten signature in black ink, appearing to read 'Iain A. McIntyre', written over a horizontal line.

Iain A. McIntyre
Reg. No. 40,337
IAM/vlb



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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christoffersen et al. Examiner: Fayez G. Assaf
Serial No.: 09/821335 Group Art Unit: 2872
Filed: March 29, 2001 Docket No.: 01485.0002-US-01
Title: STACKED PLANAR INTEGRATED OPTICS AND TOOL FOR FABRICATING SAME

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 19, 2003.

Iain A. McIntyre
Name

[Signature]
Signature

Group Art 2872
Assistant Commissioner for Patents
Washington, D.C. 20231

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- ☒ Supplemental Information Disclosure Statement, Form 1449, copies of 9 reference(s)
- ☒ Transmittal Sheet
- ☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC



Date: March 19, 2003

By: *[Signature]*
Iain A. McIntyre
Reg. No. 40,337
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